Criminal Case Cover Sheet	<u>U.</u> S	S. District Court - District	of Massachusetts
Place of Offense: Hopkinton	Category NoI	Investigating Agency <u>FI</u>)A
City Massachusetts	Related Case Information:		
County Middlesex	Superseding Ind./ Same Defendant Magistrate Judge Case Number Search Warrant Case Number R 20/R 40 from District of		
Defendant Information:			
Defendant Name Stryker Biotech, LL	C	Juvenile Yes	x No
Alias Name			
Address			
Birth date (Year only): SSN (i	ast 4 #): Sex Race:	Nationality:	
Defense Counsel if known: Brien	O'Connor, Ropes & Gray Ac	ldress: 1 International Pla	ce, Boston, MA
Bar Number:	 _		
U.S. Attorney Information:			
AUSA Jeremy Sternberg	Bar Numb	oer if applicable	
Interpreter: Yes X No	List language and/o	r dialect:	
Victims: X Yes No If Yes	, are there multiple crime victim	s under 18 U.S.C. §3771(d)(2) x Yes No
Matter to be SEALED: Yes	x No		
Warrant Requested	x Regular Process	☐ In Custod	y
Location Status:			
Arrest Date:			
Already in Federal Custody as Already in State Custody On Pretrial Release: Ordered	by	Sentence Awaiting T	rial
Charging Document: Con	mplaint Informatio	n x Indictme	nt
Total # of Counts:	y Misdemean	or x Felony	13
Со	ntinue on Page 2 for Entry of U.S	S.C. Citations	
I hereby certify that the case accurately set forth above.	e numbers of any prior proceedin	gs before a Magistrate Jud	ge are
Date: 10-18-09	Signature of AUSA:	Jan Joney	

Case 1:09-cr-10330-GAO Document 1-2 Filed 10/28/2009 Page 2 of 10 SJS 45 (5/97) - (Revised USAO MA 11/15/05) Page 2 of 2 or Reverse District Court Case Number (To be filled in by deputy clerk): Name of Defendant U.S.C. Citations Index Key/Code **Description of Offense Charged** Count Numbers Set 1 18 USC §1343 wire fraud 1 to 5 Set 2 <u>18 USC §371</u> conspiracy 6 7 to 12 misbranding _____ Set 3 21 USC §331(k) Set 4 18 USC \$1001 false statements _15_____ Set 7 _____ Set 10

Set 13 _____

ADDITIONAL INFORMATION:

Set 11 _____

Set 12 _____

SJS 45 (5/97) - (Revised USAO MA 11/15/05)		
Criminal Case Cover Sheet	<u>U.</u> S. Di	strict Court - District of Massachusetts
Place of Offense: Hopkinton	Category No Inv	
City Massachusetts	_ Related Case of formatio	10330 GAO
County Middlesex	Same Defendant Magistrate Judge Case Number Search Warrant Case Number	Case No. New Defendant
Defendant Information:		
Defendant Name Mark Philip	Ju-	venile Yes X No
Alias Name		
Address Lexington, Massachusetts		
Birth date (Year only): <u>1955</u> SSN (las	st 4 #): <u>9108</u> Sex <u>M</u> Race:	Nationality: British
Defense Counsel if known: Stephen	Huggard Addres	ss: 111 Huntington Ave, Boston, MA
Bar Number:		
U.S. Attorney Information:		
AUSA Jeremy Sternberg	Bar Number if	fapplicable
Interpreter: Yes X No	List language and/or dia	
	3 5	der 18 U.S.C. §3771(d)(2) x Yes No
Matter to be SEALED: Yes	x No	• • • • • • • • • • • • • • • • • • • •
➤ Warrant Requested	Regular Process	In Custody
Location Status:	gmt	
Arrest Date:		
	in Serving Sent	ence Awaiting Trial
Charging Document: Com	plaint Information	x Indictment
Total # of Counts: Petty	Misdemeanor	x Felony 7
Con	tinue on Page 2 for Entry of U.S.C.	Citations
I hereby certify that the case is accurately set forth above. Date:	numbers of any prior proceedings be / () - 1	efore a Magistrate Judge are

SJS 45 (5/97) - (Revised USAQ MA 11/15/05) Page 2 of 2 or Reverse					
Distric	ct Court Case N	umber (To be fi	lled in by deputy clerk):		
Name	of Defendant	Mark Philip			
			U.S.C. Citations		
	Index Key	//Code	Description of Offense Charged	Count Numbers	
Set 1	18 USC §1343		wire fraud	1 to 5	
Set 2	18 USC §371		conspiracy	6	
Set 3	18 USC §1001		false statements	16	
Set 4					
Set 5					
Set 6					
Set 7		4			
Set 8					
Set 9					
Set 10					
Set 11					
Set 12					
Set 13					
Set 14					
Set 15					
ADDI	TIONAL INFO	RMATION:			

SJS 45 (5/97) - (Revised USAO MA 11/15/05)

Criminal Case Cover Sheet		J.S. District C	ourt - Distr	ict of Massachusetts
Place of Offense: Hopkinton	Category No. I	Investigati	ng Agency _	FDA
City <u>Massachusetts</u> County <u>Middlesex</u>	Superseding Indon Superseding Indon Same Defendant Magistrate Judge Case Num Search Warrant Case Num R 20/R 40 from District of	nber		
Defendant Information:				
Defendant Name William Heppner		Juvenile	Yes	x No
Alias Name				
Address Glenview, Illinois				
Birth date (Year only): 1968 SSN (last	t 4 #): <u>2035</u> Sex <u>M</u> Race:		_ Nationality	y: USA
Defense Counsel if known: Robert U	Jlimann	Address: 155 S	Seaport Blvd	, Boston, MA
Bar Number:				
U.S. Attorney Information:				
AUSA Jeremy Sternberg	Bar Nu	nber if applical	ble	
Interpreter: Yes x No	List language and	or dialect:		
Victims: X Yes No If Yes, a	re there multiple crime victi	ms under 18 U.	S.C. §3771(d	l)(2) x Yes No
Matter to be SEALED: Yes	x No			
Warrant Requested	X Regular Process		In Custo	ody
Location Status:				
Arrest Date:				
Already in Federal Custody as Already in State Custody On Pretrial Release: Ordered by	Servin	g Sentence	Awaiting	; Trial
Charging Document: Comp	laint 🔲 Informat	ion	x Indictn	nent
Total # of Counts: Petty	Misdeme	anor	x Felony	6
Conti	inue on Page 2 for Entry of U	J.S.C. Citations		
I hereby certify that the case n accurately set forth above.	·			idge are
Date: / 0 2 8 - 0 9	Signature of AUSA:	~\UU\L	DAN	

S JS 45	SJS 45 (5/97) - (Revised USAO MA 11/15/05) Page 2 of 2 or Reverse						
Distri	ct Court Case N	umber (To be	e filled in by deputy clerk):				
Name of Defendant William Heppner							
			U.S.C. Citations				
	Index Key	y/Code	Description of Offense Charged	Count Numbers			
Set 1	18 U.S.C. §134	3	wire fraud	1 to 5			
Set 2	18 U.S.C. §371		conspiracy	6			
Set 3							
Set 4							
Set 5							
Set 6		****					
Set 7							
Set 8							
Set 9							
Set 10							
Set 11		<u></u>					
Set 12							
Set 13							
Set 14							
Set 15							
ADDI	TIONAL INFO	RMATION:					

Case 1:09-cr-10330-GAO Document 1-2 Filed 10/28/2009 Page 7 of 10 SS 45 (5/97) - (Revised USAO MA 11/15/05) Criminal Case Cover Sheet U.S. District Court - District of Massachusetts Place of Offense: Hopkinton Category No. I Investigating Agency FDA Related Case Information: City Massachusetts Superseding Ind./ Inf. County Middlesex ____ Same Defendant Magistrate Judge Case Number Search Warrant Case Number R 20/R 40 from District of Defendant Information: x No Defendant Name David Ard _______ Juvenile Yes Alias Name Address Alamo, California Birth date (Year only): 1964 SSN (last 4 #): 4762 Sex M Race: Nationality: USA Defense Counsel if known: Robert Keefe, Wilmer Hale Address: 60 State St., Boston, MA Bar Number: U.S. Attorney Information: AUSA Jeremy Sternberg Bar Number if applicable Interpreter: Yes x No List language and/or dialect: Victims: x Yes No If Yes, are there multiple crime victims under 18 U.S.C. §3771(d)(2) x Yes No Yes x No Matter to be SEALED: Warrant Requested x Regular Process __ In Custody **Location Status:** Arrest Date: Already in Federal Custody as Already in State Custody _____ Serving Sentence Awaiting Trial On Pretrial Release: Ordered by Complaint Information Charging Document: x Indictment Misdemeanor x Felony 7 Total # of Counts: Petty Continue on Page 2 for Entry of U.S.C. Citations

I hereby certify that the case numbers of any prior proceedings before a Magistrate Judge are accurately set forth above.

Date: / t 2 3 6 5 Signature of AUSA:

FAUSA: Jungles Story

∿ JS 45	(5/97) - (Revised USAC) MA 11/15/05) Pag	e 2 of 2 or Reverse	
Distri	ct Court Case Ni	ımber (To be f	filled in by deputy clerk):	
Name	of Defendant	David Ard		
			U.S.C. Citations	
	Index Key	/Code	Description of Offense Charged	Count Numbers
Set 1	18 USC §1343		Wire Fraud	1 to 5
Set 2	18 USC §371		Conspiracy	6
Set 3	21 USC §331(k	ù	Misbranding	
Set 4				
Set 5				
Set 6				
Set 7				
Set 8				
Set 9				
Set 10				
Set 11				
Set 12				
Set 13				
Set 14				
Set 15				

ADDITIONAL INFORMATION:

■JS 45 (5/97) - (Revised USAO MA 11/15/05)					
Criminal Case Cover Sheet	U.S	S. District Cour	<u>t - Distr</u> ic	t of Massa	chusetts
Place of Offense: Hopkinton	Category No	Investigating A	igency Fl	DA	
City Massachusetts	Uy	CR 1	03	30	GAC
County Middlesex	Superseding Ind./ Inf. Same Defendant Magistrate Judge Case Numb Search Warrant Case Number R 20/R 40 from District of	New Det	fendant		GAU
Defendant Information:					
Defendant Name		Juvenile [Yes	x No	
Alias Name					
Address North Carolina					
Birth date (Year only): 1963 SSN (las	st 4 #): 9133 Sex M Race:	N	lationality:	USA	
Defense Counsel if known: Frank I	.ibby Ad	ldress: 175 Fede	eral St., Bo	ston, MA	
Bar Number:					
U.S. Attorney Information:					
AUSA Jeremy Sternberg	Bar Numb	er if applicable			
Interpreter: Yes X No	List language and/or	r dialect:			
Victims: x Yes No If Yes,	are there multiple crime victims	s under 18 U.S.C	. §3771(d)	(2) X Yes	s 🔲 No
Matter to be SEALED: Yes	X No				
Warrant Requested	x Regular Process		In Custod	ly	
Location Status:					
Arrest Date:					
Already in Federal Custody as Already in State Custody On Pretrial Release: Ordered by		Sentence	Awaiting	Trial	·
Charging Document: Comp	plaint Information	n x	Indictme	ent	
Total # of Counts: Petty	Misdemean	or [<u>x</u>	Felony	7	
Cont	tinue on Page 2 for Entry of U.S	S.C. Citations			
accurately set forth above.	numbers of any prior proceedin	_	istrate Jud	ge are	
10 28-00	Simular CANSA And a	du Mi	> 1		

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S JS 45	SJS 45 (5/97) - (Revised USAO MA 11/15/05) Page 2 of 2 or Reverse				
Distri	ct Court Case Number (To be	filled in by deputy clerk):			
Name	of Defendant				
		U.S.C. Citations			
	Index Key/Code	Description of Offense Charged	Count Numbers		
Set 1	18 USC §1343	wire fraud	1 to 5		
Set 2	18 USC §371	conspiracy	6		
Set 3	21 USC §331(k)	misbranding	14		
Set 4					
Set 5					
Set 6					
Set 7					
Set 8					
Set 9					
Set 10					
Set 11					
Set 12					
Set 13					
Set 14					
Set 15					

ADDITIONAL INFORMATION: